

Commission Rebate Disclosure Form



880 Ouellette Ave., 9th Floor
 Windsor, ON N9A 1C7
 (519) 265-8999 Fax (519) 256 -9730

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|---|---------------|-----------------------|
| Title | Last Name | First Name & Initials |
| Last Name of Joint Applicant or Trustee | | |
| Street Address | | Province |
| City – Town | | Postal Code |
| Tel. Residence | Tel. Business | |
| E-Mail | | |

To be submitted upon determination of the actual DSC charges & commissions paid.
Commission Rebate Definition:
 Commission rebating is a practice whereby the redemption fees (DSC) are reimbursed to the client in additional units of the new fund purchased. These units are funded using a portion of the commission on the new sale otherwise payable to the Dealer.
 Commission rebates must be exercised with the client's best interest in mind and must satisfy KYC and suitability requirements.
 For more information, see section 7.1 of CSA National Instrument 81-105 and its companion policy.

REDEMPTION OF CURRENT INVESTMENTS: The DSC redemption charge(s) applicable on the following redemptions(s):

| FUND CODE | FUND NAME | FUND ACCOUNT# | APPROXIMATE MARKET VALUE | DSC CHARGE Percentage | DSC CHARGE IN \$ |
|-----------|-----------|---------------|--------------------------|-----------------------|------------------|
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PURCHASE OF NEW FUND INVESTMENT (S): Plan #:

| FUND CODE | FUND NAME | FUND ACCOUNT# | APPROXIMATE PURCHASE AMOUNT | ACTUAL REBATE In Dollars | H.O. USE Only |
|-----------|-----------|---------------|-----------------------------|--------------------------|---------------|
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TAX IMPLICATIONS:
 In non-registered accounts, commission rebates may be fully taxable in the year received. For example, at a 30% marginal tax rate, \$300 in taxes payable will result from each \$1,000 of rebated commission. At a marginal tax rate of 40%, \$1,000 of rebated commission will result in \$400 in taxes payable. Filing an election with your tax return may allow you to defer current taxation of commission rebates. Please see your tax advisor for personalized advice.

ADDITIONAL DISCLOSURES:
 I/We confirm that I/we have discussed and agreed with the suitability of my/our new investment and that I/we am/are aware of the applicable tax consequences, if any, of this re-investment (not applicable on my/our new investment as per the attached purchase order and as described in the simplified prospectus for which I/we acknowledge receipt.
 I/We hereby expressly consent to and authorize to process on my/our behalf, the redemption of the current fund investments and the purchase of the new fund investments.

Dated at _____ this _____ day of _____ 20_____

Applicant _____ Co-Applicant _____

Representative Signature _____ Print Name _____

DEALER NUMBER 9823 / _____ Branch Manager Approval : _____ Date: _____

ACCEPTED AT HEAD OFFICE – Date: _____ Compliance _____